



FILE COPY

Receipt
#16

PATENTS
UV/003 C3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Connie T. Marshall et al.
Serial No. : 09/421,953
Filed : October 20, 1999
For : SYSTEMS AND METHODS FOR DISPLAYING
INFORMATION WITH A PERCEIVED PARTIAL
TRANSPARENCY OVER A TELEVISION PROGRAM
(AS AMENDED)
Group Art Unit : 2775

New York, New York 10020
June 7, 2000

Assistant Commissioner
for Patents
Washington, D.C. 20231

Attn: Customer Correction Branch
Application Processing Division

RECEIVED

JUL 12 2000
TC 2700 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of a Filing Receipt issued by the Patent and Trademark Office in connection with the above-identified patent application. The information contained in the "Continuing Data as Claimed by Applicant" is incorrect. In accordance with the information on page 3 of the Transmittal Letter For Rule 53(b) Continuing Patent Application originally filed with this application, please amend the "Continuing Data as Claimed by Applicant" so that it



reflects that this is a continuation of application Serial No. 08/974,944, filed November 20, 1997, which is a continuation of application Serial No. 08/599,143, filed February 9, 1996, now U.S. Patent 5,828,420, which is a continuation of application Serial No. 08/234,060, filed April 28, 1994, now U.S. Patent 5,502,504. A copy of the Transmittal Letter and a copy of the Filing Receipt are enclosed for your reference.

Accordingly, applicants respectfully request that the Patent and Trademark Office issue a corrected Filing Receipt in which the information contained in the "Continuing Data as Claimed by Applicant" is correctly stated. The error in the Filing Receipt is believed to be the responsibility of the Patent and Trademark Office. However, if for any reason the error is deemed to be the responsibility of the applicants, the Commissioner is hereby authorized to charge any fee that may be due in connection with this Request to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.

I Herby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to: ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231 on

James J. Sainty
Signature of Person Signing

Respectfully submitted,

Matthew T. Byrne
Matthew T. Byrne
Reg. No. 40,934
Attorney for Applicants

FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

JUL 12 2000
RECEIVED
U.S. PATENT AND TRADEMARK OFFICE
MAIL ROOM 2700

FILING RECEIPT

OC00000005142496

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/421,953	10/20/1999	2711	1016	UV-3C3	6	1	1

G VICTOR TREYZ
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
NEW YORK, NY 100201104

Date Mailed: 05/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

CONNIE T MARSHALL, MUSKOGEE, OK ;
THOMAS R LEMMONS, COWETA, OK ;
DONALD W ALLISON, TULSA, OK ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 08/599,143 02/09/1996 PAT 5,828,420
THIS APPLICATION IS A DIV OF 08/599,143 02/09/1996 PAT 5,828,420

RECEIVED
JUL 12 2000
TC 2700 MAIL ROOM

Foreign Applications**If Required, Foreign Filing License Granted 11/17/1999**

MAY 30 2000

Title

VIDEO MIX PROGRAM GUIDE

FISH & NEAVE - PATENT DEPT.
REFERRED TO MTR
NOTED BY VMB

Preliminary Class

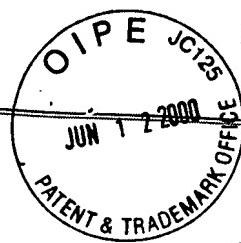
348

Data entry by : BLIZZARD, SHARON

Team : OIPE

Date: 05/26/2000





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Bib Data Sheet

UNITED STATES DEPARTMENT OF
COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231**FILE COPY**

SERIAL NUMBER 09/421,953	FILING DATE 10/20/1999 RULE	CLASS 348	GROUP ART UNIT 2711	ATTORNEY DOCKET NO. UV-3C3
APPLICANTS CONNIE T MARSHALL, MUSKOGEE, OK ; THOMAS R LEMMONS, COWETA, OK ; DONALD W ALLISON, TULSA, OK ;				
** CONTINUING DATA ***** THIS APPLICATION IS A CON OF 08/974,944 11/20/1997 PAT 6,020,929 WHICH IS A CON OF 08/599,143 02/09/1996 PAT 5,828,420 WHICH IS A CON OF 08/234,060 04/28/1994 PAT 5,502,504 <i>VM</i>				
** FOREIGN APPLICATIONS ***** <i>None</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/17/1999				
Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY OK	SHEETS DRAWING 6	TOTAL CLAIMS 1
Verified and Acknowledged <i>Matthew T. Byrne</i>	Examiner's Signature <i>Matthew T. Byrne</i>	Initials	INDEPENDENT CLAIMS 1	
ADDRESS #12 G-VICTOR TREYZ MATTHEW T. BYRNE FISH & NEAVE 1251 AVENUE OF THE AMERICAS NEW YORK, NY 100201104				
TITLE Systems and Methods for Displaying Information with a perceived Partial Transparency over a Television program VIDEO MIX PROGRAM GUIDE <i>4/4</i>				
FILING FEE RECEIVED 1016	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other <input type="checkbox"/> Credit		